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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT  
ACCORDING TO 37 C.F.R. §1.97(d)

**Match and Return**

APPLICANT: Guenter WAITL, et al. DOCKET NO: P00,1086  
SERIAL NO.: 09/581,585 ART UNIT: 2813  
FILED: October 5, 2000 EXAMINER: L. Schillinger  
TITLE: "SURFACE MOUNTING OPTOELECTRONIC COMPONENT AND  
METHOD FOR PRODUCING SAME"

Assistant Commissioner for Patents,  
Washington D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, Applicant respectfully requests that a citation and examination be made of the references identified on the attached PTO 1449 in regards to the above-identified application for United States Patent. This Information Disclosure Statement is being filed pursuant to 37 C.F.R. 1.97(d).

Pursuant to 37 C.F.R. 1.97(d)(1), the undersigned hereby certifies, under 37 C.F.R. 1.97(e)(1), that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counter part foreign application not more than three months prior to the filing of the statement.

Pursuant to 1.97(d)(2), Applicant hereby encloses the fee set forth in 1.17(p) of \$180.00. The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to account No. 50-1519. A duplicate copy of this sheet is enclosed.

**REMARKS**

The attached PTO 1449 form lists related art references for the above

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identified application.

### EXPLANATION OF RELEVANCE

References AA and AB were cited in an Office Action dated April 4, 2003 during the prosecution of a corresponding Chinese patent application. Since these references are in English, no further commentary on their teaching is required.

The filing of the present Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed as an admission that the information cited in the present Information Disclosure Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The above citation of related art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent related art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of related art of which applicant and his attorney are presently aware and is not intended to serve as a substitute for the Examiner's own search.

Submitted by,

Mark Bergner (Reg. No. 45,877)

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### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D C 20231 on May 2, 2003.

Mark Bergner  
Mark Bergner - Attorney for Applicants